

TRANSMITTAL FORM

Application Serial Number	10/534,900
Filing Date	May 13, 2005
First Named Inventor	Kinloch
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	UDL-002
Confirmation No.	1909
Issue Date	Not applicable

ENCLOSURES (check all that apply)

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input checked="" type="checkbox"/> Amendment/Response
<input checked="" type="checkbox"/> Second Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Petition for Extension of Time

<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Form PTO-1449
<input type="checkbox"/> Copies of IDS Citations

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notification of Missing Requirements

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input checked="" type="checkbox"/> Copy of Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

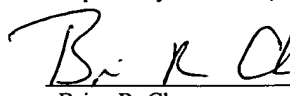
<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
• Response to Notice to File Missing Requirements |
|--|--|---|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Goodwin Procter LLP
Exchange Place
Boston, MA 02109
Tel. No.: (617) 570-1000
Fax No.: (617) 523-1231
Customer No. 051414

SIGNATURE BLOCK

Respectfully submitted,

 Date: October 5, 2005
 Reg. No. 56,663
 Tel. No.: (617) 570-1053
 Fax No.: (617) 523-1231
 Brian R. Chase
 Attorney for Applicants
 Goodwin Procter LLP
 Exchange Place
 Boston, MA 02109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Kinloch et al. CONFIRMATION NO.: 1909
SERIAL NO.: 10/534,900 GROUP NO.: Not yet assigned
FILING DATE: May 13, 2005 EXAMINER: Not yet assigned
TITLE: Method for Producing Carbon Nanotubes and/or Nanofibres

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5th day of October, 2005.


Linda M. Penta

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal Form (1 pg.);
3. Check in the amount of \$130.00;
4. Response to Notice to File Missing Requirements (1 pg.);
5. Copy of Notification Missing Requirements (2 pgs.);
6. Copy of Declaration and Power of Attorney (3 pgs.);
7. Second Preliminary Amendment (7 pgs); and
8. Return receipt postcard.

10/12/2005 GFREY1 00000115 10534900

01 FC:1617

130.00 0P

FEE TRANSMITTAL

FY 2005

Complete if ☒ n

Application Serial Number	10/534,900
Filing Date	May 13, 2005
First Named Inventor	Kinloch
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	UDL-002

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. **07-1700**.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING/SEARCH/EXAM/SIZE FEES

Large Entity

Fee (\$)	Fee Description	Fee Paid
300	Utility filing fee	
500	Utility search fee	
200	Utility exam fee	
250	Utility size fee (each add'l 50 pgs. over 100)	
200	Design filing fee	
100	Design search fee	
130	Design exam fee	
250	Design size fee (each add'l 50 pgs. over 100)	

	Number Filed	Number Extra	Rate	Amount
Total Claims	- 20 =		x \$ 50.00 =	

Independent Claims	- 3 =		x \$200.00 =	
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☐ Multiple Dependent Claim(s), if any \$360.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims	Highest No.	Present	Rate	Fee Paid
Remaining	Previously	Extra		
After Amend.	Paid For			

Total	- =		x \$ 50.00=	
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Indep.	- =		x \$200.00=	
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☐ First Presentation of Multiple Dep. Claim + \$360.00=

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Descriptions	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1020	510	Extension for reply within third month	
1590	795	Extension for reply within fourth month	
2160	1080	Extension for reply within fifth month	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1000	500	Request for oral hearing	
400	400	Petitions to the Commissioner (Gp. I)	
200	200	Petitions to the Commissioner (Gp. II)	
130	130	Petitions to the Commissioner (Gp. III)	
180	180	Submission of Information Disclosure Statement	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
130	65	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 130.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 130.00

TOTAL (\$) 130.00

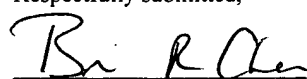
CORRESPONDENCE ADDRESS

Direct all correspondence to:

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SIGNATURE BLOCK

Respectfully submitted,



Date: October 5, 2005
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Brian R. Chase
Attorney for the Applicants
Goodwin | Procter LLP
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Boston, MA 02109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

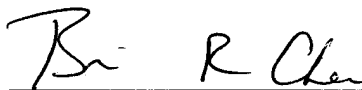
APPLICANT: Kinloch *et al.* CONFIRMATION NO.: 1909
SERIAL NO.: 10/534,900 GROUP NO.: Not yet assigned
FILING DATE: May 13, 2005 EXAMINER: Not yet assigned
TITLE: METHOD FOR PRODUCING CARBON NANOTUBES AND/OR
NANOFIBRES

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

In response to the Notice of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office, (the "Notice") mailed from the U.S. Patent & Trademark Office on September 8, 2005, Applicants enclose a copy of the Notice, a Second Preliminary Amendment, a copy of the executed Declaration and Power of Attorney form, and a check in the amount of \$130.00 to cover the late declaration surcharge. Applicants believe that no additional fees are necessitated for entry of this paper. In the event, however, that any additional fees are due, the Commissioner is hereby authorized to charge any such fees to Attorney's Deposit Account No. 07-1700.

Respectfully submitted,



Brian R. Chase, Esq.
Attorney for Applicants
Goodwin | Procter LLP
Exchange Place
Boston, Massachusetts 02109

Date: October 5, 2005
Reg. No. 56,663

Tel. No.: (617) 570-1053
Fax No.: (617) 523-1231

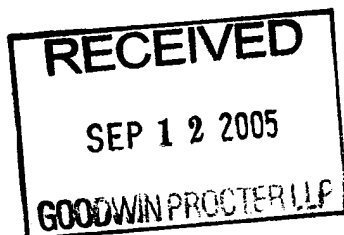


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/534,900	Ian Kinloch	UDL-002

51414
 GOODWIN PROCTER LLP
 PATENT ADMINISTRATOR
 EXCHANGE PLACE
 BOSTON, MA 02109-2881



INTERNATIONAL APPLICATION NO.	
PCT/GB03/04925	
I.A. FILING DATE	PRIORITY DATE
11/13/2003	11/14/2002

CONFIRMATION NO. 1909
 371 FORMALITIES LETTER
 OC000000016955899
 OC000000016955899

Date Mailed: 09/08/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/13/2005
- Copy of the International Search Report filed on 05/13/2005
- Preliminary Amendments filed on 05/13/2005
- U.S. Basic National Fees filed on 05/13/2005
- Priority Documents filed on 05/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$3960** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$3890** for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 3960 .
 - \$3600 for 85 total claims over 20.
 - \$360 for multiple dependent claim surcharge.

(A previous payment of \$200 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,900	PCT/GB03/04925	UDL-002